

(Unofficial Translation)

This translation is for the convenience of those unfamiliar with the Thai language.

Please refer to the Thai text for the official version

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**AIMC Logo**

**Notification of the Association of Investment Management Companies**

**No. SorJorKor. Lor. 2/2545**

**Re: Guidelines and Procedures for the Preparation and Delivery of a Fund Investment Report to Unitholder Who Redeems a RMF Fund to Switch to Another RMF Fund**

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By virtue of paragraph 2 of clause 12 of the Notification of the Securities and Exchange Commission No. KorNor. 41/2544 Re: Guidelines, Terms, and Procedures for the Establishment and Management of Provident Funds, dated 16 November B.E.2544, require that the preparation and delivery of fund investment report to unitholder who redeems a RMF Fund shall comply with the guidelines and procedures prescribed by the Association of Investment Management Companies, with approval from the Office of the Securities and Exchange Commission. Hence, by virtue of section 25 of Regulations of the Association of Investment Management Companies, the board of directors of the Association of Investment Management Companies hereby issues such guidelines and procedures as follows:

Clause 1 In this Notification,

"Member" refers to a company which is a member of the Association of Investment Management Companies licensed to operate a securities business involving mutual fund management.

"Retirement mutual fund or RMF" refers to a type of open-end mutual fund established and managed by a member for the purpose of promoting unitholders to invest long term savings for retirement.

Clause 2 For a RMF fund, the member shall produce a report detailing the RMF units redeemed by the unitholder in order to switch into a different RMF fund. The report shall at least contain minimum details required by Form. Lor. 2/2545 as attached herewith along with this

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notification. Deliver the report to the unitholder within the period specified in the RMF fund scheme, but not exceeding 5 business days after the redemption date. The report shall serve as supporting document for the unitholder to accompany an annual personal income tax filing to the Revenue Department.

Clause 3 This Notification shall be effective on 22 February B.E.2545 onwards.

Announced on 22 February B.E.2545

-signature-

(M.L. Pakakaew Boonliang)

Chairman

Association of Investment Management Companies